**Appendix 1**

**DEGREE OF AUTHORIZATION**

Dated 05.12.2019, effective from 01.01.2020

1. **General conditions for authorization**

1.1 The RO is authorized to carry out statutory certification services on behalf of Traficom as listed below.

1.2 The distinction between new and existing ships is the responsibility of Traficom.

1.3 The shipowner shall agree with the RO on the practical arrangements of the surveys.

1. **Newbuildings and flag entry**

2.1 An initial project meeting shall be arranged between Traficom, shipowner, RO and shipyard in order to clarify tasks and responsibilities between the parties when a new ship is to be built to Finnish flag.

2.2 Traficom, RO and Shipowner shall be in a dialogue when a foreign ship is to be transferred to the Finnish register in order to clarify tasks and responsibilities of the transfer process. A meeting shall be arranged when considered necessary.

1. **Issuance of Certificates, attachments and supplements**

3.1 Exemption certificates are issued solely by Traficom.

3.2 The full-term certificates, their attachments and supplements will be issued as specified in this document.

3.3 When the RO is issuing certificates specified in this document the certificates shall be issued "under the authority of the Government of Finland”.

3.4 Certificates specified in an instrument relevant to this agreement shall be issued in a format and with contents as described in the instrument.

3.5 All certificates shall be in the English language or in combined Finnish/English or Swedish/English language. The spelling of a ship’s name, homeport, companies and company address is governed by the Finnish certificate of nationality and shall be used on all certificates. This includes the use of the special letters “Å, Ä and Ö”.

3.6 Printed certificates shall be furnished with appropriate stamps and other means to minimize falsification.

3.7 The RO may issue any other type of “Certificates” “Approval letters” and “Statements of Compliance” etc. not relevant to this agreement as long as there is no doubt that it is issued on behalf of the RO itself and not on behalf of the Government of Finland or the The Finnish Transport and Communications Agency.

3.8 Certificates may be electronically produced and communicated. Requirements set in IMO document FAL.5/Circ.39/Rev.2 “Guidelines for the use of electronic certificates” have to be fulfilled.

1. **Authorization of surveys and certificates**

4.1 The division of work concerning surveys and certificates is specified in tables 1 to 4.

4.2 The following types of authorizations apply:

* **RO**: Full authorization of RO to perform plan review, carry out initial, annual, intermediate, periodical, renewal or additional surveys, audits and inspections, verifications, and issue the necessary certificates within the limits given in the relevant conventions and regulations or other instruments.
* **Traficom:** Not authorized. The issuing of certificate and tasks relating to the given certificate are the prerogative of Traficom.
* **P:** Partial authorization, see remarks

**Table 1 - All ships**

|  |  |  |
| --- | --- | --- |
| **Certificate** | **Authorization** | **Remarks** |
| International Tonnage Certificate, ITC 69 | Traficom |  |
| International Anti-Fouling System Certificate, AFS | RO | EU regulation 782/2003 |
| International Air Pollution Prevention Certificate, IAPP | RO |  |
| International Load Line Certificate, ILLC  | RO | The Load Line Disc shall be marked with the appropriate signature letters of the RO issuing the ILLC |
| International Oil Pollution Prevention Certificate, IOPP | RO |  |
| International Sewage Pollution Prevention Certificate, ISPP | RO |  |
| International Energy Efficiency Certificate, IEE | RO |  |
| Statement of Compliance – Fuel Oil Consumption Reporting | RO | Authorization includes transfer of data to the IMO Ship Fuel Oil Consumption Database, Traficom regulation TRAFI/613265/03.04.01.00/2018 |
| Engine International Air Pollution Prevention Certificate, EIAPP | RO |  |
| Ballast Water Management Certificate, BWMC | RO  |  |
| Document of Compliance with special requirements for ships carrying dangerous cargo, DG | RO |  |
| Certificate on Inventory of Hazardous Materials | RO | EU regulation 1257/2013 |
| Ready for Recycling Certificate | RO | EU regulation 1257/2013 |
| Polar Ship Certificate, POLAR | RO |  |
| Special Purpose Code Certificate, SPCC | RO | An initial SPCC may only be issued after written permission by the Traficom |
| International Ship Security Certificate, ISSC  | Traficom |  |
| Maritime Labour Certificate, MLC | Traficom and MSAH (Ministry of Social Affairs and Health) | Traficom is responsible for Training and qualifications (Reg. 1.3) and Manning levels (Reg. 2.7) only. MSAH is responsible for the other parts of the MLC. |

**Table 2 - Cargo ships and cargo high-speed craft**

|  |  |  |
| --- | --- | --- |
| **Certificate** | **Authorization** | **Remarks** |
| Cargo Ship Safety Construction Certificate, SAFCON | RO |  |
| Cargo Ship Safety Equipment Certificate, SAFEQ | RO |  |
| Cargo Ship Safety Radio Certificate, SAFRAD | RO |  |
| Safety Certificate for High-Speed Craft, HSC | RO |  |
| International Pollution Prevention Certificate for the Carriage ofNoxious Liquid Substances in Bulk, NLS | RO |  |
| International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, IBC FITNESS | RO |  |
| Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, BCH FITNESS | RO |  |
| International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, ICG FITNESS | RO |  |
| Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, CG FITNESS | RO |  |
| International Certificate of Fitness for the Carriage of INF Cargo, INF | Traficom |  |

**Table 3 - Passenger ships and passenger high-speed craft**

|  |  |  |
| --- | --- | --- |
| **Certificate** | **Authorization** | **Remarks** |
| Passenger Ship Safety Certificate, PSSC | RO |  |
| Safety Certificate for High-Speed Craft, HSC | RO |  |
| Permit to Operate High-Speed Craft | Traficom |  |

**Table 4 - Survey and certification of non-convention ships**

|  |  |  |
| --- | --- | --- |
| **Certificate** | **Authorization** | **Remarks** |
| Passenger vessels in national trade falling within the scope of the EC Directive 2009/45/EC, as amended  | P | Vessels, which are classed by an RO, shall be surveyed by the RO.Passenger Ship Safety Certificate is always issued by Traficom. |

4.3 Non-convention ship, which is not mentioned in the table 4, may be surveyed by RO if agreed with Traficom.

1. **Approval of manuals, plans and other arrangements**

5.1 The division of work concerning approval of certain manuals, plans and other arrangements is specified in Table 5.

**Table 5 – Plans and documents approved by Traficom or the RO**

|  |  |
| --- | --- |
| **Plan/Manual** | **Approval** |
| Cargo securing manual | RO |
| Damage Control Plans and Booklets  | RO |
| Subdivision and damage stability calculation | RO |
| Intact stability booklet | RO |
| Dedicated Clean Ballast Tank Operation Manual | RO |
| Document of Authorization for the Carriage of Grain | RO |
| Grain Loading Stability Booklet | RO |
| Emergency towing booklet (tankers) | RO |
| Oil Discharge Monitoring and Control System OperationalManual | RO |
| Operations and Equipment Manual for Crude Oil WashingSystems | RO |
| Safety plan/fire control plan/life-saving appliances scheme,  | RO  |
| Shipboard oil pollution emergency plan (SOPEP) | RO |
| Shipboard marine pollution emergency plan for noxiousliquid substances (SMPEP) | RO |
| Ship Energy Efficiency Management Plan (SEEMP) Part II | RO |
| List of loading and filling limits | RO |
| Cargo handling plans | RO |
| Ship structure access manual | RO |
| Ballast Water Management Plan (BWMP) | RO |
| EGC System Technical Manual “Scheme A” (ETM-A) | RO |
| EGC System Technical Manual “Scheme B” (ETM-B) | RO |
| Onboard monitoring Manual (OMM) | RO |
| SOx Emissions Compliance Plan (SECP) | RO |
| EGC Record Book | RO |
| Manual for EGR bleed-off discharge system | RO |
| EGR record book | RO |
| Procedures and Arrangements Manual | RO |
| Ship-to-ship-transfer plan (oil) | RO |
| Format of Muster List for passenger ship | RO |
| VOC Management Plan | RO |
| NOx Technical File | RO |

1. **Arrangements for the ISM audits and certification**

6.1 Audits related to the Document of Compliance (DOC) and Safety Management Certificate (SMC) may be performed either by Traficom or by the RO.

The Document of Compliance (DOC) and Safety Management Certificate (SMC) is issued by the auditing body.

6.2 The organization performing the ISM audits need not be the same as the organization performing the statutory surveys.

6.3 The RO is authorized to perform initial, interim, annual, intermediate, renewal and additional audits of Finnish Companies and Finnish vessels on the following conditions:

* 1. The auditors of the RO shall as a minimum have the competence stated in Resolution A.1071(28) Revised Guidelines on Implementation of the International Safety Management Code by Administrations, and its Appendix and taking into account the standards mentioned in directive 2009/16/EC as amended on port State control, annex XI paragraph 2.
	2. The audit shall be performed in the language of the Safety Management System (SMS).
	3. The audit shall include an operational emergency drill. The scope of the drill must be extensive enough to verify that the ship personnel are able to handle emergency situations in accordance with approved emergency procedures.
1. **Approved service providers**

**7.1 Launching and lifting appliances**

7.1.1 Launching appliances under the authority of Traficom are those which are related to:

* 1974 SOLAS II-1/3-9 (i.e. gangways and accommodation ladders), forming part of the SAFCON survey
* 1974 SOLAS III (i.e. LSA equipment), forming part of the SAFEQ survey.

7.1.2 Service providers for LSA launching appliances must be approved by Traficom if the service station is located in Finland.

7.1.3 Service providers for LSA launching appliances must be approved by Traficom, RO or the national maritime administration if the service station is located outside Finland.

7.1.4 All other lifting appliances (e.g. cargo cranes, passenger lifts, cranes for provision and all lifting appliances in the engine room) on board Finnish ships fall under the authority of the Ministry of Social Affairs and Health.

**7.2 Servicing stations for inflatable liferafts, inflatable lifejackets, marine evacuation systems**

7.2.1 According to Traficom’s regulation Conditions for the approval of servicing stations for marine safety equipment and the requirements for their operation, a service station servicing inflatable liferafts, inflatable lifejackets, marine evacuation systems shall be approved (in accordance with the annex to IMO Resolution A.761(18), as amended) by the manufacturer of the equipment and by:

* Traficom, if the service station is located in Finland.
* As authorized by the national maritime administration, if the service station is located outside Finland.

**7.3 Shore-based maintenance for radio installations**

7.3.1 Shore-based maintenance requirements are those, which are related to:

* 1974 SOLAS IV reg.15 (shore-based maintenance)

7.3.2 Vessels flying the Finnish flag must have a contract with a shore-based maintenance provider approved by Traficom.

**7.4 Voyage Data Recorder (VDR)**

7.4.1 VDR service provider must be approved by manufacturer and RO.

1. **Marine Equipment Directive (MED)**

8.1 The RO shall, when issuing or renewing the relevant safety certificates, ensure compliance with the MED provisions (wheel-mark equipment), as implemented in Finnish legislation.